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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/957,456

09/21/2001

Tully Michael Underhill

9611-26/MG

CONFIRMATION NO. 2501

FORMALITIES LETTER

OC000000007015420*

Micheline Gravelle Bereskin & Parr Box 401 40 King Street West Toronto, ON M5H 3Y2 CANADA



Date Mailed: 11/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. 370 Applicant must submit \$.355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$214.
 - \$54 for 6 total claims over 20.

168 • \$160 for 4 independent claims over 3.

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 634.

A copy of this notice <u>MUST</u> be returned with the reply.

12/27/2001 GTEFFERA 00000008 09957456

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Our Reference No. 9611-26

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: N/A

Examiner:

N/A

Attn: Box Missing Parts

In re Application of

Tully Michael Underhill and
Andrea Dawn Weston

Serial No. 09/957,456

Filing Date: September 21, 2001

For: Assay For Identifying
Modulators of Chondrogenesis

The Commissioner of Patents & Trademarks Washington, D.C. 20231 U.S.A.

Dear Sir:

RESPONSE TO NOTICE TO FILE MISSING REQUIREMENTS

In accordance with the provisions of 37 C.F.R. §1.53, Applicants hereby submit two executed Declarations of inventors **TULLY MICHAEL UNDERHILL and ANDREA DAWN WESTON** along with the Notice to File Missing Parts of Nonprovisional Application, mailed November 2, 2001.

The government fee of \$657.00 is included in our cheque No. **4075** . This fee is made up of the surcharge of \$65.00 (small entity), the filing fee of \$370.00 (small entity) and the additional claim fee of \$222.00 (small entity). The Commissioner is hereby authorized to charge any deficiency in fee or credit any overpayment to our Deposit Account No. 02-2095.

If any other fee is due, including a fee for an extension of time, such an extension is hereby requested and the Commissioner is authorized to charge any such fee to Deposit Account No. 02-2095.

Respectfully submitted,

Tully Michael Underhill and Andrea Dawn Weston

Micheline Gravelle Registration No. 40,261

Dated: December 21, 2001

Bereskin & Parr Box 401, 40 King Street West Toronto, Ontario Canada M5H 3Y2

(416) 364-7311 Enclosures